

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification⁵ :

A61F 2/06

A1

(11) International Publication Number:

WO 93/00868

(43) International Publication Date:

21 January 1993 (21.01.93)

(21) International Application Number: PCT/AU92/00328

(22) International Filing Date: 3 July 1992 (03.07.92)

(30) Priority data:

PK 7057

4 July 1991 (04.07.91)

AU

(71)(72) Applicant and Inventor: OWEN, Earl, Ronald [AU/AU]; Microsurgery Centre, 1 Esther Street, Surry Hills, NSW 2010 (AU).

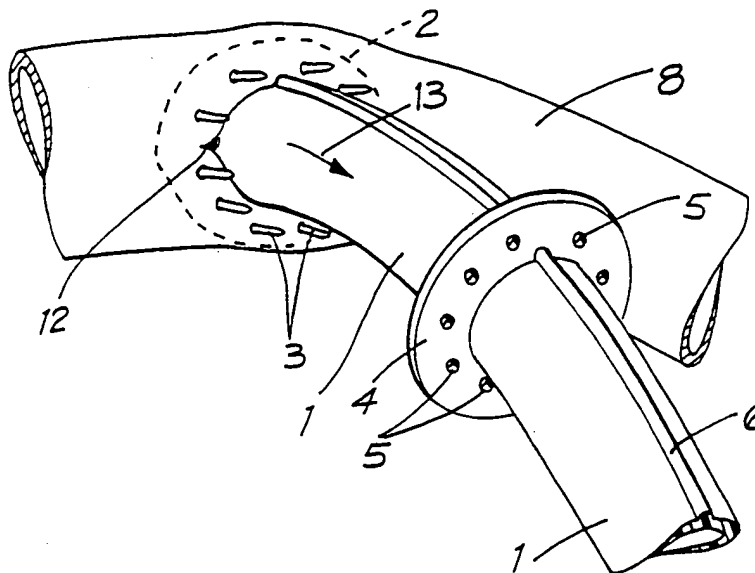
(74) Agent: GRIFFITH HACK & CO.; G.P.O. Box 4164, Sydney, NSW 2001 (AU).

(81) Designated States: AT, AU, BB, BG, BR, CA, CH, CS, DE, DK, ES, FI, GB, HU, JP, KP, KR, LK, LU, MG, MN, MW, NL, NO, PL, RO, RU, SD, SE, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LU, MC, NL, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, SN, TD, TG).

Published

With international search report.

(54) Title: TUBULAR SURGICAL IMPLANT



(57) Abstract

A tubular surgical implant particularly suitable for use in heart bypass surgery comprising a tube (1) having a flange (2) adapted to be folded and inserted into a cut in a vessel (8). The flange has a series of spikes (3) parallel to the tube which pass through the vessel wall and are engaged with holes (5) in a locking ring (4) slidable on the tube (1). The implant is typically connected between an aorta and a distal coronary artery in a heart bypass operation.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FI	Finland	MI	Mali
AU	Australia	FR	France	MN	Mongolia
BB	Barbados	GA	Gabon	MR	Mauritania
BE	Belgium	GB	United Kingdom	MW	Malawi
BF	Burkina Faso	GN	Guinea	NL	Netherlands
BG	Bulgaria	GR	Greece	NO	Norway
BJ	Benin	HU	Hungary	PL	Poland
BR	Brazil	IE	Ireland	RO	Romania
CA	Canada	IT	Italy	RU	Russian Federation
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SN	Senegal
CI	Côte d'Ivoire	LI	Liechtenstein	SU	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TC	Togo
DE	Germany	MC	Monaco	US	United States of America
DK	Denmark	MG	Madagascar		
ES	Spain				

- 1 -

"TUBULAR SURGICAL IMPLANT"

TECHNICAL FIELD

This invention relates to a tubular surgical implant and has been devised particularly though not solely for use as a by-pass device and specifically as a coronary by-pass device.

BACKGROUND ART

So-called "heart by-pass" surgery is relatively common and is necessitated by blockage or partial blockage by narrowing of the coronary arteries causing ischaemia or a lack of blood supply to the heart muscle, distally. The pain felt as a result is known as angina and the result can be heart attack, death or recovery with damage to the heart muscle. The present treatment by way of heart by-pass surgery is effective but is expensive to carry out, time consuming, and requires stopping the heart and placing the patient on a life-support artificial heart-lung machine using large quantities of blood. Surgeons must then harvest leg veins or chest arteries or both to sew into position as a by-pass from the aorta to the distal coronary artery.

It is desirable to provide a much less complicated procedure for carrying out a by-pass operation, which is not only faster and less expensive to perform, but also results in less risk to the patient.

DISCLOSURE OF THE INVENTION

The present invention therefore provides a tubular surgical implant adapted to be joined to a wall of a vessel or hollow organ such that the implant opens into the interior of the vessel or organ, said implant comprising an open ended tube, a deformable flange at one end of the tube, a plurality of spikes extending from the flange, alongside and generally parallel to the tube, and a locking ring arranged to slide axially on the tube, the locking ring incorporating a plurality of holes aligned with and adapted to receive the spikes.

Preferably the locking ring is keyed to the tube, preventing rotation of the ring relative to the tube.

- 2 -

Preferably the spikes and the holes in the locking ring are provided with a locking mechanism arranged to retain the spikes within the holes when the locking ring is engaged with the spikes.

5 The flange may be deformable relative to the tube such that the flange may be deformed to lie against the tube for insertion into an opening in the wall of the vessel or organ.

10 Alternatively the flange may be deformable across one or more hinge lines in the flange, allowing parts of the flange to bend back against the tube for insertion into an opening in the wall of the vessel or organ.

15 In one form of the invention the implant is adapted to connect two vessels or hollow organs and is provided with a flange and locking ring at both ends of the tube.

Preferably the tube and the flange are made of a plastics mesh which allows for incorporation of human tissue.

20 In a further aspect the invention provides a method of connecting two vessels or hollow organs by way of a surgical implant, comprising the steps of; providing a tubular surgical implant adapted to be joined to a wall of a vessel or organ such that the implant opens into the interior of the vessel or organ, said implant comprising
25 an open ended tube, a deformable flange at one end of the tube, a plurality of spikes extending from the flange, alongside and generally parallel to the tube, and a locking ring arranged to slide axially on the tube, the locking ring incorporating a plurality of holes aligned
30 with and adapted to receive the spikes; cutting a hole or slit in the tissue wall of the first vessel or organ, inserting the end of the tube into the hole or slit with the flange deformed, allowing or causing the flange to open behind the tissue wall, engaging the spikes through
35 the tissue wall and sliding the locking ring on the tube until the spikes are engaged with the holes in the locking ring.

- 3 -

BRIEF DESCRIPTION OF DRAWINGS

Notwithstanding any other forms that may fall within its scope, one preferred form of the invention will now be described by way of example only with reference to the accompanying drawings, in which:-

Fig. 1 is a diagrammatic perspective view of a tubular surgical implant according to the invention;

Fig. 2 is a detailed view of one end of the implant shown in Fig. 1;

Fig. 3 is a diagrammatic view of a blood vessel clamped and cut preparatory to engagement with the tubular surgical implant shown in Fig. 1;

Fig. 4 is a diagrammatic perspective view of the implant being inserted into the incision in the vessel;

Fig. 5 is a similar view to Fig. 4 showing the spikes of the implant being engaged; and

Fig. 6 is a similar view to Figs. 4 and 5 showing engagement of the locking ring.

MODES FOR CARRYING OUT THE INVENTION

In the preferred form of the invention a tubular surgical implant is provided for use as a by-pass between the aorta and a coronary artery although it will be appreciated that the device may be used in many other applications wherever it is necessary to join an artificial tube with a vessel or organ or to provide a by-pass between different vessels and/or organs. The implant is described as a double-ended device although it will be appreciated that in some applications the engagement flange and locking ring may be provided on one end of the tube only.

The implant comprises a tube (1) having a flange (2) at either end of the tube. The tube and flange may be formed from any suitable materials but are typically of a plastics mesh material such as a grade of Gortex (Registered Trade Mark) material which allows incorporation of human tissue and a long life for the device in the body.

Each flange is provided with a plurality of spikes

- 4 -

(3) extending from the flange, alongside and generally parallel to the tube (1). In this sense the spikes face away from the open end of the tube.

The implant is further provided with locking rings
5 (4) of the same general size and configuration of the flanges (2), the locking rings being arranged to slide axially on the tube (1). Each locking ring incorporates a plurality of holes (5) (Fig. 5) aligned with and adapted to receive the spikes (3) protruding from the
10 corresponding flange (2).

In order to ensure alignment of the holes (5) with the spikes (3) the locking ring may be keyed to the tube by way of a keyway (6) on the tube and a corresponding projection or aperture (not shown) in the locking ring
15 (4), preventing rotation of the ring relative to the tube. In this manner, the holes (5) can be accurately aligned with the spikes (3) enabling the ring to be engaged with the spikes as will be described further below.

20 The flange (2) is deformable relative to the tube (1) either by deforming the entire flange relative to the tube so that the flange may lie against the tube for insertion into an opening of a vessel or organ, or alternatively the flange may be deformable across one or
25 more hinge lines (7) (Fig. 2) allowing parts of the flange to bend back against the tube as shown in Fig. 4 for insertion into an opening in the wall of the vessel or organ.

The use of the implant will now be described with
30 reference to a typical coronary by-pass operation where the implant is engaged between the aorta and the distal coronary artery.

Referring to Fig. 3 the aorta (8) is first partially clamped by way of a non-traumatic clamp (9) positioned
35 partially across the aorta to allow the continuing flow of blood through unclamped portion (10). The clamped portion (11) of the aorta (8) may then be cut to form an incision (12) for engagement with the implant.

- 5 -

As shown in Fig. 4, the flange (2) may be deformed as previously described and inserted through the incision (12) until the entire flange is positioned within the aorta. The flange is then allowed or caused to open
5 behind the tissue wall of the aorta to its original configuration and the tube pulled back in the direction of arrow (13) (Fig. 5) engaging the spikes (3) through the tissue wall (11).

The locking ring (4) is then slid down the tube
10 until the spikes (3) are engaged with the holes (5) in the locking ring as shown in Fig. 6.

The spikes (3) and the holes (5) in the locking ring (4) are provided with a series of locking mechanisms arranged to retain the spikes within the holes when the
15 locking ring is engaged with the spikes as shown in Fig. 6. This locking mechanism may take any suitable configuration but is typically a series of "click into place" type of mechanism which engages the locking ring at various spacings from the flange to allow for several
20 aortic wall thicknesses.

The procedure may then be repeated at the other end of the implant to engage the other flange with the coronary artery so effectively and quickly providing a by-pass between the aorta and the coronary artery.

25 In the particular application of a heart by-pass operation the flange adapted to be engaged with the coronary artery may be smaller than the flange to be engaged with the aorta to suit the size of the vessel with which it is engaged. A range of different sized and
30 ended devices would be used to cover the range expected in different sized diameters and thickness of aortas and coronary arteries. In situations where there is more than one blockage in the coronary artery, the tubular surgical implant can be constructed as a manifold with
35 one larger aortic proximal end and several separate coronary distal ends. The tubes may be either parallel sided or tapered (conical) as required for the desired flow rates.

- 6 -

In this manner a surgical implant is provided which enables a coronary by-pass operation to be performed without stopping the heart function or the aorta blood flow to the body and which furthermore does not entail
5 the stripping of veins or arteries from other parts of the body to use as a by-pass conduit.

The implant has application in many other areas presently the province of vascular and microsurgery and may be used wherever it is necessary to join a tube to a
10 vessel or hollow organ or to form a connection between two vessels and/or organs.

CLAIMS:

1. A tubular surgical implant adapted to be joined to a wall of a vessel or hollow organ such that the implant opens into the interior of the vessel or organ, said
5 implant comprising an open ended tube, a deformable flange at one end of the tube, a plurality of spikes extending from the flange, alongside and generally parallel to the tube, and a locking ring arranged to slide axially on the tube, the locking ring incorporating
10 a plurality of holes aligned with and adapted to receive the spikes.
2. A tubular surgical implant as claimed in claim 1 wherein the locking ring is keyed to the tube, preventing rotation of the ring relative to the tube.
- 15 3. A tubular surgical implant as claimed in either claim 1 or claim 2 wherein the spikes and the holes in the locking ring are provided with a locking mechanism arranged to retain the spikes within the holes when the locking ring is engaged with the spikes.
- 20 4. A tubular surgical implant as claimed in any one of the preceding claims wherein the flange is deformable relative to the tube such that the flange may be deformed to lie against the tube for insertion into an opening in the wall of the vessel or organ.
- 25 5. A tubular surgical implant as claimed in any one of claims 1 to 3 wherein the flange is deformable across one or more hinge lines in the flange, allowing parts of the flange to bend back against the tube for insertion into an opening in the wall of the vessel or organ.
- 30 6. A tubular surgical implant as claimed in any one of the preceding claims wherein the implant is adapted to connect two vessels or hollow organs and is provided with a flange and locking ring at both ends of the tube.
7. A tubular surgical implant as claimed in any one of
35 the preceding claims wherein the tube and the flange are made of a plastics mesh which allows for incorporation of human tissue.
8. A method of connecting two vessels or hollow organs

- 8 -

by way of a surgical implant, comprising the steps of:
providing a tubular surgical implant adapted to be joined
to a wall of a vessel or organ such that the implant
opens into the interior of the vessel or organ, said
5 implant comprising an open ended tube, a deformable
flange at one end of the tube, a plurality of spikes
extending from the flange, alongside and generally
parallel to the tube, and a locking ring arranged to
slide axially on the tube, the locking ring incorporating
10 a plurality of holes aligned with and adapted to receive
the spikes; cutting a hole or slit in the tissue wall of
the first vessel or organ, inserting the end of the tube
into the hole or slit with the flange deformed, allowing
or causing the flange to open behind the tissue wall,
15 engaging the spikes through the tissue wall and sliding
the locking ring on the tube until the spikes are engaged
with the holes in the locking ring.

9. A method as claimed in claim 8 wherein the area of
the first vessel or organ surrounding the hole or slit is
20 isolated from the remainder of the first vessel or organ
by way of a clamp before making the hole or slit, in a
manner allowing fluid to continue to flow through the
remainder of the vessel or organ.

10. A method as claimed in either claim 8 or claim 9
25 wherein the first vessel or organ comprises an aorta and
the second vessel or organ comprises a distal coronary
artery.

1/3

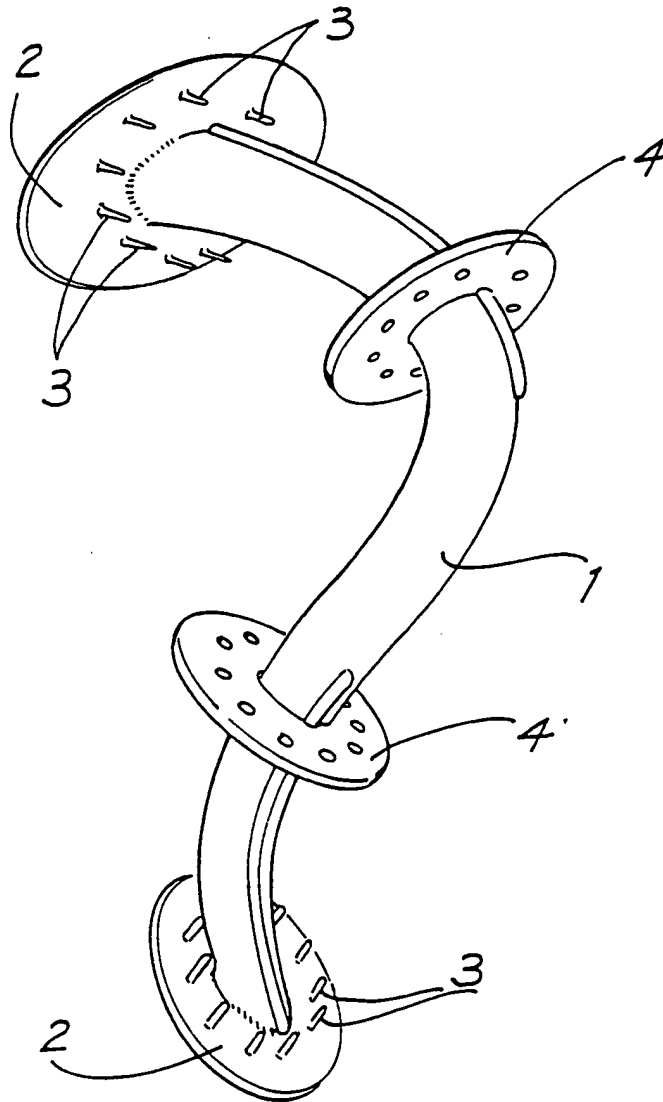
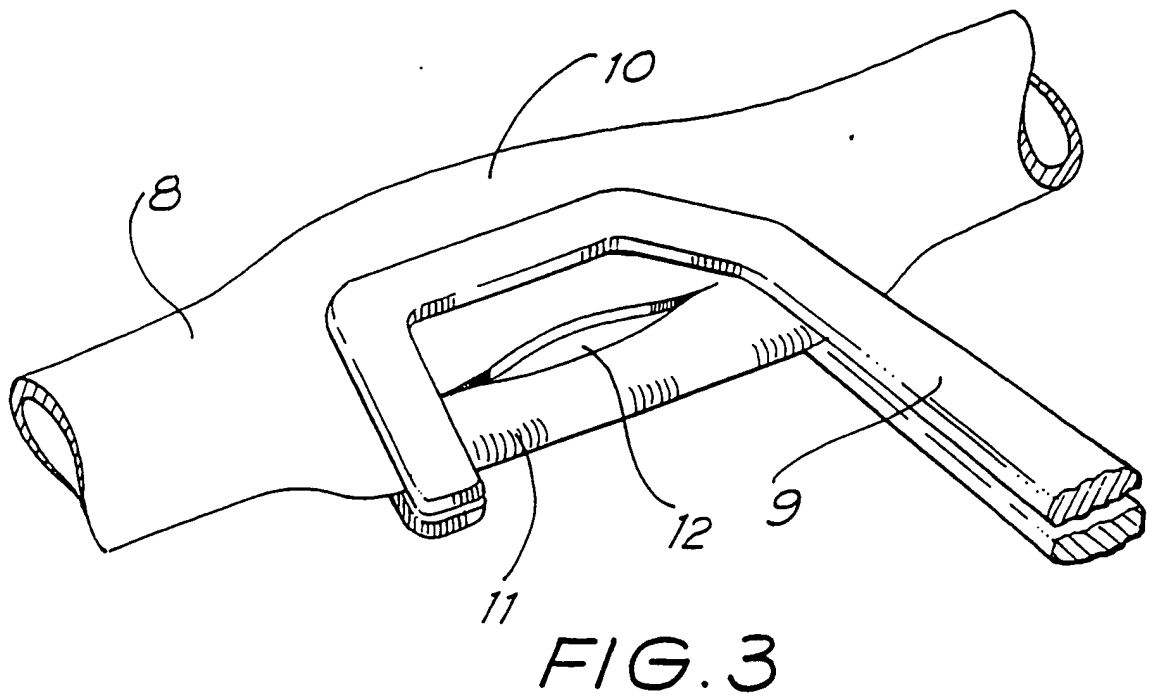
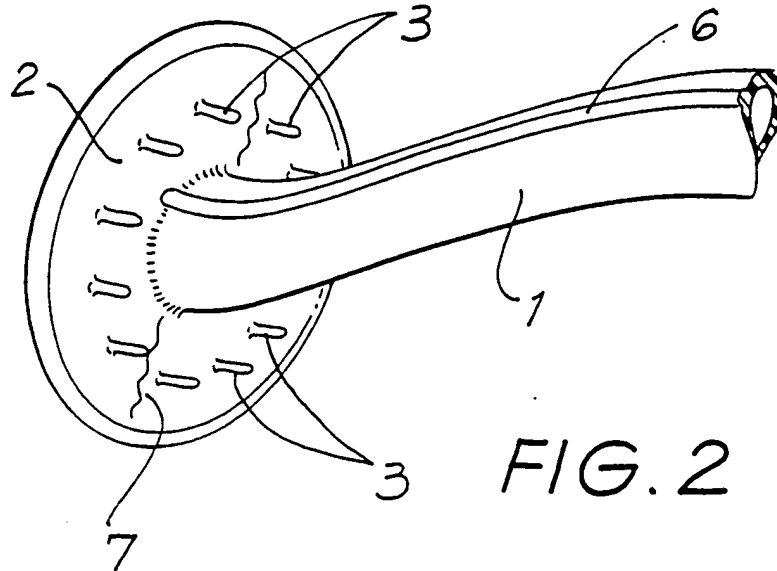
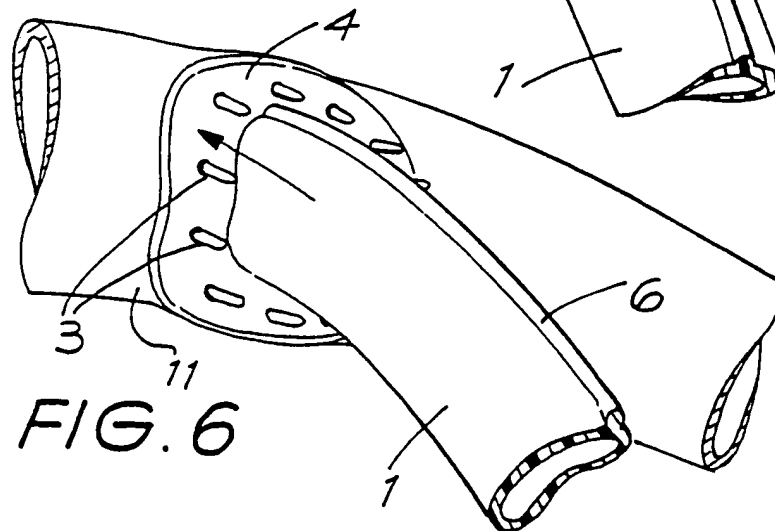
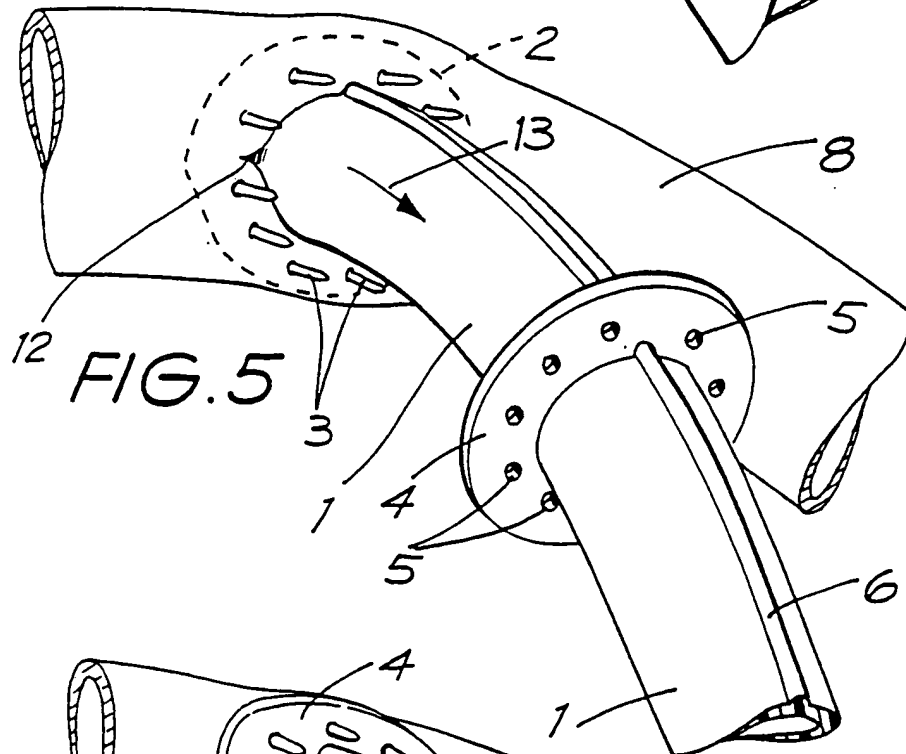
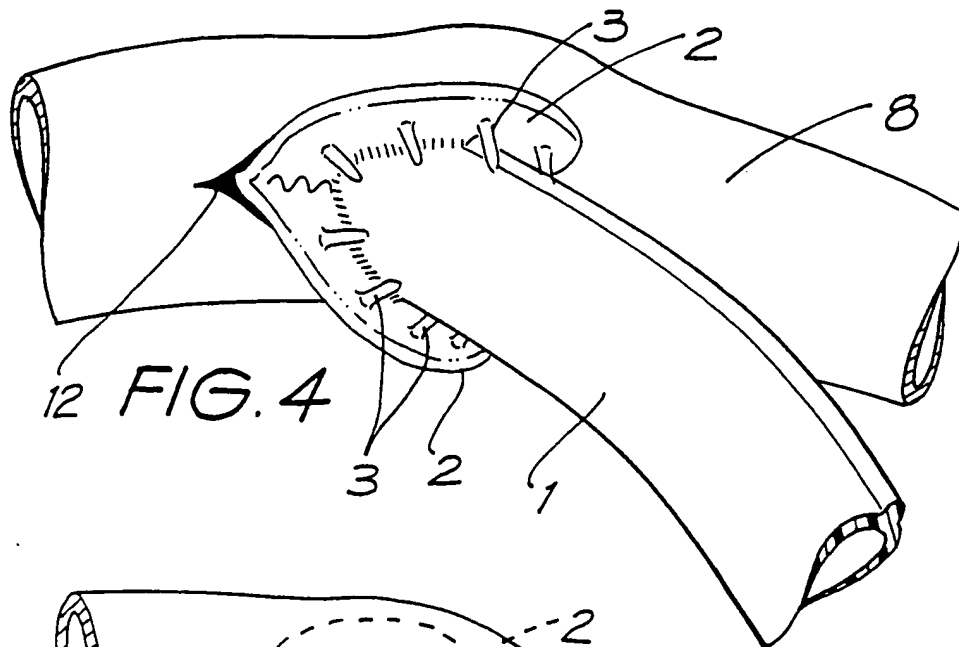


FIG. 1

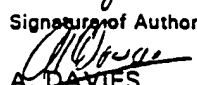
2/3



3/3



INTERNATIONAL SEARCH REPORT

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ⁶		
According to International Patent classification (IPC) or to both National Classification and IPC Int. Cl. ⁸ A61F 2/06		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁷		
Classification System	Classification Symbols	
IPC	A61F 2/06 A61F 1/00	
Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched ⁸		
AU : IPC as above		
III. DOCUMENTS CONSIDERED TO BE RELEVANT ⁹		
Category [*]	Citation of Document, ¹¹ with indication, where appropriate of the relevant passages ¹²	Relevant to Claim No ¹³
A	WO,A, 90/15582 (TROUT) 27 December 1990 (27.12.90)	
A	WO,A, 88/06865 (BIEMANS) 22 September 1988 (22.09.88)	
A	EP,A, 0269254 (ETHICON INC et al) 1 June 1988 (01.06.88)	
A	SU,A, 1593651 (MOSC MED SKCHENOV) 23 September 1990 (23.09.90) Derwent Abstract Accession no. 91-154896/21 Class P 32	
<p>[*] Special categories of cited documents : ¹⁰</p> <p>"A" Document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" Later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"Z" document member of the same patent family</p>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search 12 August 1992 (12.08.92)		Date of Mailing of this International Search Report 31 Aug. 1992 (31.08.92)
International Searching Authority AUSTRALIAN PATENT OFFICE		Signature of Authorized Officer  A. DAVIES

**ANNEX TO THE INTERNATIONAL SEARCH REPORT ON
INTERNATIONAL APPLICATION NO. PCT/AU 92/00328**

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member		
SU	1593651			
EP	269254	AU 80150/87 US 4883453	BR 8705699 ZA 8708026	JP 63158052
WO	9015582	AU 58589/90	CA 2033195	EP 429629
WO	8806865	AU 15715/88	NL 8700667	

**ANNEX TO THE INTERNATIONAL SEARCH REPORT ON
INTERNATIONAL APPLICATION NO. PCT/AU 92/00328**

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member			
SU	1593651				
EP	269254	AU 80150/87 US 4883453	BR 8705699 ZA 8708026	JP 63158052	
WO	9015582	AU 58589/90	CA 2033195	EP 429629	
WO	8806865	AU 15715/88	NL 8700667		